

Notification of Privacy Policy

At Outlook Wealth Advisors, LLC, protecting your privacy is very important to us. As a financial services firm, we collect and use nonpublic personal information (NPI) in order to provide our clients (prospective, current, or former) with a broad range of financial services as effectively and conveniently as possible. We are providing this notification to inform you of the types of NPI we collect, our privacy safeguards and sharing practices. We handle all NPI in accordance with this policy.

WHAT IS NPI? WHAT TYPES OF NPI DOES OUTLOOK WEALTH ADVISORS, LLC COLLECT AND FROM WHOM DO WE COLLECT IT?

- ❖ Nonpublic Personal Information (NPI) means personally identifiable financial information provided by a consumer to obtain a financial product or service, resulting from a transaction, or otherwise obtained in connection with providing financial services, and that is not publicly available. Information we receive from you on applications or other forms (e.g., name, address, income, etc.);
- ❖ Information about your transactions with us, our affiliates, our service providers, or other parties to transactions; and
- ❖ Information we may receive about you from unaffiliated financial service providers (e.g. custodians, insurance agents, attorneys, and consumer reporting agencies).

HOW IS YOUR NPI UTILIZED?

We do not disclose any NPI about you without your express consent, or as described in this notice. We only share your NPI with (1) employees of our firm or any company affiliated with our firm; (2) affiliates of our firm; (3) unaffiliated entities that either perform services for us or function on our behalf (i.e., broker-dealers, subadvisors, co-advisors, third-party managers, banks, mutual fund companies, etc.); (4) account aggregation services chosen by mutual agreement; (5) others who need to know such information in order to provide products or services to you and (6) any other situation where we are permitted or required by law to share it. We will also receive NPI from some or all of the entities listed above. Disclosure of NPI to such parties is unrestricted and facilitated by your agreement and consent.

HOW DO WE PROTECT YOUR NPI?

We maintain physical, electronic, and procedural safeguards reasonably designed to protect your nonpublic personal information. These safeguards include:

- Role-based access controls limiting information access based on job function;
- Secure office facilities and locked file storage;
- Encryption and secure transmission technologies;
- Multi-factor authentication for system access;
- Periodic review of access rights;
- Employee training on data protection and cybersecurity awareness; and
- Secure disposal procedures for both paper and electronic records.

These safeguards apply before, during, and after our engagement with you.

SERVICE PROVIDERS AND VENDOR OVERSIGHT

We may share nonpublic personal information with service providers who perform services on our behalf, including custodians, broker-dealers, sub-advisers, technology providers, and other financial institutions.

We require service providers with access to nonpublic personal information to:

- Maintain appropriate confidentiality safeguards;
- Implement data security protections consistent with applicable laws; and
- Notify us promptly of any actual or suspected data breach involving client information.

We conduct periodic due diligence and oversight of service providers to evaluate their privacy and cybersecurity practices.

We do not sell, share or disclose your NPI to persons or entities that are neither service providers nor affiliates. We will not share or disclose such information to non-affiliated third-party marketing companies.

INCIDENT RESPONSE AND BREACH NOTIFICATION

We maintain a written Incident Response Program designed to detect, respond to, and recover from unauthorized access to or use of nonpublic personal information.

If we determine that a data breach involving your sensitive customer information has occurred, we will provide notification to affected individuals as soon as practicable, but no later than 30 days after becoming aware of the incident, unless a delay is permitted by law enforcement.

Notifications will include:

- A description of the incident;
- The type of information involved;
- Steps we have taken to protect your information;
- Measures you may take to protect yourself; and
- Contact information for assistance.

We maintain written records of security incidents, investigations, and remediation steps for a minimum of five years, consistent with Rule 204-2 of the Investment Advisers Act.

PRIVACY GOVERNANCE AND OVERSIGHT

Outlook Wealth Advisors, LLC has formally adopted this Privacy Policy. The Chief Compliance Officer is responsible for oversight, implementation, and enforcement of this policy. This policy is reviewed at least annually and updated as necessary to reflect regulatory requirements, operational changes, and evolving cybersecurity risks. Documentation of each review is maintained in accordance with SEC recordkeeping requirements.

Employee Responsibilities and Training

All employees are required to protect the confidentiality of client information and comply with this Privacy Policy. Employees must promptly report any suspected or actual unauthorized access, cybersecurity incident, or misuse of client information to the Chief Compliance Officer. The firm conducts periodic privacy and cybersecurity training, and documentation of completed training is maintained.

FUTURE POLICY REVISIONS

This policy may change to reflect updates in our practices, procedures, or regulatory requirements concerning the collection and use of NPI. As our client, you will receive notifications at least annually and our revisions or changes to this policy will be highlighted in our annual notifications. If you have any questions regarding our privacy policy, please do not hesitate to contact your investment advisor representative or you may write to, email, or call us at:

Outlook Wealth Advisors, LLC
224 Ed English Drive, Suite A
Shenandoah, Texas 77385
Firm Contact: Elsa Cazares

Website: <http://www.outlookwealth.com/>
Phone: 281-872-1515, Fax: 281-875-0781
Email: elsac@outlookwealth.com

We are providing this notification to you in accordance with Federal and State regulations.